

## RISK ANALYSIS MATRIX

*The following list identifies factors that grantees may use to rank the degree of risk associated with a potential subrecipient or application submitted for funding. Grantees may develop ranking and rating criteria based on risk analysis as part of the process for selection of subrecipients.*

### **Project Complexity**

- Size of dollar amount requested
- Use of funds:
  - For construction or rehab
  - For operation of facility
  - For program only

### **Type of Organization Requesting Funding**

- Non-profit
- For-profit (570.201(o))
- Governmental Agency

### **Complexity of Housing Project**

- New construction
- Rehabilitation
- Single unit/multi-unit
- Number of units
- Subrecipient's prior experience with this size and type project

### **Economic Development**

- Complexity of project
- Number of jobs to be created or retained
- Area benefit
- Providing direct grants and loans
- Providing technical assistance
- Subrecipient's prior experience

### **Potential Environmental Concerns**

- Degree of complexity
- E.I.S. needed

### **Other Type of Project**

- Degree of experience carrying out similar type project

### **Funding**

- Other sources of funds indicated, but not committed
- Other funds committed
- CDBG funds only

**Type of Assistance**

Grant  
Loan

**Float Loan**

Ability to repay within necessary time frame

**Program Income**

To be retained to continue with the same activity  
To be retained for a different activity  
To be returned to grantee

**Subrecipient Organization**

Newly created entity  
Well established, but no prior CDBG or Federal experience  
Prior experience with CDBG or other Federal programs  
No independent source of funding, i.e., general fundraising

**Subrecipient History, If Previously Funded**

Ability to deliver project within budget and on schedule  
Ability to anticipate and overcome past problems  
Any past monitoring issues raised  
Any special contract conditions needed

**Staffing**

Staff experienced with this type activity  
Have sufficient staff to carry out project or must hire  
Entity has significant staff turnover

**Recent Problems**

Unresolved monitoring findings  
Citizen complaints